

● PRINTER RUSH ●
(PTO ASSISTANCE)

Application : <u>10017814</u>	Examiner : <u>Cangialosi</u>	GAU : <u>3621</u>
From: <u>J. Black</u>	Location: <u>(IDC) FMF FDC</u>	Date: <u>12/5/05</u>
Tracking #: <u>epm 10017814</u>		Week Date: <u>9/12/05</u>

DOC CODE	DOC DATE	MISCELLANEOUS
<input type="checkbox"/> 1449	_____	<input type="checkbox"/> Continuing Data
<input type="checkbox"/> IDS	_____	<input type="checkbox"/> Foreign Priority
<input type="checkbox"/> CLM	_____	<input type="checkbox"/> Document Legibility
<input type="checkbox"/> IIFW	_____	<input type="checkbox"/> Fees
<input type="checkbox"/> SRFW	_____	<input type="checkbox"/> Other
<input type="checkbox"/> DRW	_____	
<input checked="" type="checkbox"/> OATH	<u>12/14/01</u>	
<input type="checkbox"/> 312	_____	
<input type="checkbox"/> SPEC	_____	

[RUSH] MESSAGE: _____

Signatures of all inventors is missing from the oath/declaration

Please review.

[XRUSH] RESPONSE: _____

see misc comm

DONE

INITIALS: (Signature)

NOTE: This form will be included as part of the official USPTO record, with the Response document coded as XRUSH.
REV 10/04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Asit Dan, et al.

Document No.: YOR920010775US1

Serial No.: 10/017,814

Group Art Unit: 3621

Filed: December 14, 2001

Confirmation No.: 5023

For: Electronic Contracts with Primary and Sponsored Roles

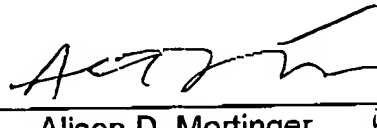
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Publication Division (703) 308-6642
Attn: Rori Burch

**CERTIFICATION OF FACSIMILE TRANSMISSION
PURSUANT TO 37 CFR 1.8**

I hereby certify that the following papers are being facsimile transmitted under 37 CFR Rule 1.6(d) to the U.S. Patent and Trademark Office ((703) 308-6642 on January 10, 2006:

1. Response to Notice to File Corrected Application Papers
2. Copy of Missing Parts Response sent to USPTO dated March 18, 2002
3. Signed Declaration and Power of Attorney (2) pages
4. Copy of the Postcard from the PTO acknowledging receipt
5. Notice to File Corrected Application Papers

Dated: January 10, 2006



Alison D. Mortinger
Reg. No. 39,306
Telephone: 914-945-3158

PLEASE FAX CONFIRMATION TO: Fax: 914-945-3281

IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, New York 10598

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Asit Dan, et al.

Document No.: YOR920010775US1

Serial No.: 10/017,814

Group Art Unit: 3621

Filed: December 14, 2001

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For: Electronic Contracts with Primary and Sponsored Roles

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Sir:


In response to the Notice to File Corrected Application Papers, dated December 27, 2005, enclosed at this time are (1) a copy of the notice, (2) a copy of the papers sent on March 18th, 2002 including (a) the Response to Missing Parts, (b) the declaration with signatures of the four inventors, and (c) a copy of the acknowledgement postcard stamped March 25th, 2002.

Applicants do not believe any fee is necessary, however, authorization is granted to charge any necessary fees to Deposit Account 09-0468.

If anything else is needed to place this application in condition for issuance, please feel free to contact me directly; I am in the office on Tuesdays, Wednesdays, and Thursdays.

Respectfully submitted,

Dated: January 10, 2006


Alison D. Mortinger

Reg. No. 39,306

Telephone: 914-945-3158

IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, New York 10598

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of : Customer Service Center
: Initial Patent Examination
Asit Dan et al. : Division
:
Serial No.: 10/017,814 : Date: March 18, 2002
Confirmation No. 5023 :
:
Filed: December 14, 2001 : Docket No.: YOR920010775US1

For: ELECTRONIC CONTRACTS WITH PRIMARY AND SPONSORED ROLES

To: Commissioner for Patents
Customer Service Center
Initial Patent Examination Division
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:


1. Acknowledgment Post Card;
2. Filing of Missing Parts of Application Under 37 CFR 1.63
(in duplicate);
3. Declaration and Power of Attorney Form;
4. Notice to File Missing Parts of Application (return copy); and
5. Assignment to IBM with attached Recordation Form

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Customer Service Center
Initial Patent Examination Division
Washington, DC 20231

on March 18, 2002

Michelle Parra
(Print name of person certifying)


(Signature of person certifying)

Docket YOR920010775US1

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of : Customer Service Center
: Initial Patent Examination
Asit Dan et al. : Division
:
Serial No.: 10/017,814 : Date: March 18, 2002
Confirmation No. 5023 :
:
Filed: December 14, 2001 : Docket No.: YOR920010775US1

For: ELECTRONIC CONTRACTS WITH PRIMARY AND SPONSORED ROLES

**FILING OF MISSING PARTS OF NONPROVISIONAL APPLICATION
FILING DECLARATION AND POWER OF ATTORNEY
FILING ASSIGNMENT**

To: Commissioner for Patents
Customer Service Center
Initial Patent Examination Division
Washington, D.C. 20231

Sir:

On December 14, 2001, the above-identified application was filed with the U.S. Patent and Trademark Office, under Rule 1.53(f) Missing Parts.

Enclosed at this time is an original declaration, which includes the signatures of the four (4) inventors. This copy has been altered only to indicate the serial number and filing date of the subject application.

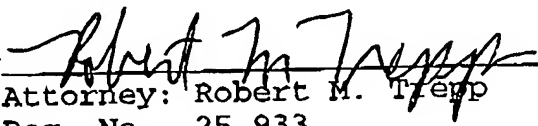
Also attached for filing is the original fully executed Assignment for the above-identified application with the required Recordation Form Cover Sheet.

The fee of \$170.00 representing both the Surcharge of \$130.00 and Assignment fee of \$40.00 is to be charged to Deposit

Account No.: 09-0468. A duplicate copy of this paper is attached.

A copy of the "Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted", date mailed January 17, 2002, is also attached as per your instructions.

Respectfully submitted,
Attorney for the Applicants

by 
Attorney: Robert M. Trepp
Reg. No. 25,933
Tel. (914) 945-3147

IBM Thomas J. Watson Research Center
Intellectual Property Law Department
P.O. Box 218
Yorktown Heights, N.Y. 10598

Attorney Docket: YOR920010775US1

Docket No. YOR9200010775US1

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name:

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ELECTRONIC CONTRACTS WITH PRIMARY AND SPONSORED BOLING

the specification of which (check one)

 is attached hereto.X was filed on December 14, 2001 as United States Application Number 10/017,814or PCT International Application Number and was amended on (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International Application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application, having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
			Yes	No
			Yes	No
			Yes	No

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

(Application Number)	(Filing Date)

I hereby claim the benefit under 35 U.S.C. §120 of any United States Application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States, or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information material to the patentability of this application as defined in 37 CFR §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number).

Manny W. Schecter (Reg. 31,722), Kevin M. Jordan (Reg. 40,277), Christopher A. Hughes (Reg. 26,914), John E. Hoel (Reg. 26,279), Joseph C. Redmond, Jr. (Reg. 18,753), Stanley B. Green (Reg. 24,351), Richard M. Ludwin (Reg. 33,010), Marc A. Erlich (Reg. 39,966), Douglas W. Cameron (Reg. 31,596), Louis P. Herzberg (Reg. 41,500), Marian Underweiser (Reg. 46,134), Stephen C. Kaufman (Reg. 29,551), Daniel P. Morris (Reg. 32,053), Louis J. Percello (Reg. 33,206), Robert M. Trepp (Reg. 25,933), Gail H. Zarick (Reg. 43,303), Robert P. Tassinari, Jr. (Reg. 36,030), Paul J. Otterstedt (Reg. 37,411), Derek S. Jennings (Reg. No. 41,473) and Timothy M. Farrell (Reg. No. 37,321).

Send Correspondence to: Gail H. Zarick, IBM CORPORATION, Intellectual Property Law Dept.
P.O. Box 218, Yorktown Heights, New York 10598

Direct Telephone Calls to: (name and telephone number) Gail H. Zarick (914) 245-3701

Ajit Dan

Full name of sole or first inventor

Inventor's Signature

6 Heritage Drive, Pleasantville, New York 10570

Residence

India

Citizenship

Same as above

Post Office Address

D. Net No. Y0820910775U81DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Guram Kar
Full name of second joint-inventor, if any
Guram Kar 12/14/01
Inventor's signature Date
211 Sara Court, Yorktown Heights, New York 10598
Residence
USA
Citizenship
Same as above
Post Office Address

Alexander Keller
Full name of third joint inventor, if any
A. Keller 12/17/01
Inventor's signature Date
746 Mamaroneck Avenue, Apt. 1414, Mamaroneck, New York 10543
Residence
Germany
Citizenship
Same as above
Post Office Address

Heiko Hery Ludwig
Full name of fourth joint-inventor, if any
Heiko Hery Ludwig 12/14/2001
Inventor's signature Date
215 W 102nd Street, Apt. 10 Q, New York, New York 10025
Residence
Germany
Citizenship
Same as above
Post Office Address

Full name of fifth joint inventor, if any
Inventor's signature Date
Residence
Citizenship
Same as above
Post Office Address

Full name of sixth joint-inventor, if any
Inventor's signature Date
Residence
Citizenship
Same as above
Post Office Address



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/017,814	12/14/2001	Asit Dan	YOR920010775US1

IBM CORPORATION
INTELLECTUAL PROPERTY LAW DEPT.
P.O. BOX 218
YORKTOWN HEIGHTS, NY 10598

CONFIRMATION NO. 5023

FORMALITIES LETTER



OC000000007326052

Date Mailed: 01/17/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Filing: Certificate of Mailing; Filing of Missing Parts of Application (in duplicate); Declaration and Power of Attorney Form; Notice to File Missing Parts of Nonprovisional Application (return copy); and Assignment to IBM with attached Recordation Form.

In Re application of: Asit Dan et al.
For: ELECTRONIC CONTRACTS WITH PRIMARY AND
SPONSORED ROLES

Serial No.: 10/017,814 Confirmation No.: 5023
Docket No.: YOR920010775US1
Attorney: GHZ

All fees are charged to our Account No. 09-0468
Date of Deposit: March 18, 2002

Filing: Certificate of Mailing; Filing of Missing Parts of Application (in duplicate); Declaration and Power of Attorney Form; Notice to File Missing Parts of Nonprovisional Application (return copy); and Assignment to IBM with attached Recordation Form.

In Re application of: Asit Dan et al.
For: ELECTRONIC CONTRACTS WITH PRIMARY AND
SPONSORED ROLES

Serial No.: 10/017,814 Confirmation No.: 5023
Docket No.: YOR920010775US1
Attorney: GHZ

All fees are charged to our Account No. 09-0468
Date of Deposit: March 18, 2002

BEST AVAILABLE COPY

**UNITED STATES PATENT AND TRADEMARK OFFICE**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Serial Number
10017814

Date Mailed
12/27/05

NOTICE TO FILE CORRECTED APPLICATION PAPERS***Notice of Allowance Mailed***

This application has been accorded an Allowance Date and is being prepared for issuance. The application, however, is incomplete for the reasons below.

Applicant is given 30 days from the mail date of this Notice within which to correct the informalities indicated below. A failure to reply will result in the application being ABANDONED. This period for reply is NOT extendable under 37 CFR 1.136 (a) or (b).

- ♦ Inventor's signatures are missing from the oath/declaration/ADS. No petition under Rule 1.47 filed. (DAN, ASIT; KAR, GAUTAM; KELLER, ALEXANDER; LUDWIG, HEIKO HARY) Fax missing information to number below or e-mail.

- o For status updates visit <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR System, contact the Electronic Business Center (EBC) toll free at 866-217-9197.

APPLICANT MUST SUPPLY MISSING INFORMATION WITHIN 30 DAYS OF THE MAIL DATE OF THIS NOTICE.

A copy of this notice **MUST** be returned with the reply. Please address response to Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

A handwritten signature in black ink, appearing to read "Rori Burch", followed by a horizontal line.

Rori Burch
USPTO
Publishing Division
Rori.burch@uspto.gov
Fax (703) 746-6830
Fax (703) 308-6642
703-305-0333 ext.135 (V)

BEST AVAILABLE COPY